EXECUTIVE COMPLIANCE PROGRAMME

ADVANCED EXECUTIVE CERTIFICATE

IN TRADE-BASED MONEY LAUNDERING (TBML), SANCTIONS COMPLIANCE & PROLIFERATION FINANCING RISK MANAGEMENT

EXECUTIVE LEVEL

INTERNATIONAL TRAINING SCHEDULE 2026-2027

TRAINING DATES & COUNTRIES

MALAYSIA	23rd - 27th Nov 2026
SINGAPORE	01st - 05th Mar 2027
UAE	24th - 28th May 2027
SOUTH AFRICA	30th Aug - 03rd Sep 2027
KENYA	25th - 29th Oct 2027
SWITZERLAND	13th - 17th Dec 2027

FEE: USD $2,750 PER PERSON

10% DISCOUNT APPLIES TO 3 OR MORE DELEGATES FROM THE SAME INSTITUTION

TBML • SANCTIONS • PROLIFERATION FINANCING • AML/CFT • RISK MANAGEMENT • COMPLIANCE

INTERNATIONAL BUSINESS SCHOOL OF FINANCE (IBSF)

EXECUTIVE PROGRAMS WING

PwC Tower, Delta Corner, 6th Floor, Chiromo Road, Westlands, Nairobi - KENYA

TEL: +254 20 2057577

EMAIL: ibsf@international-bsf.org | WEB: www.international-bsf.org

EXECUTIVE EDUCATION • FINANCIAL CRIME COMPLIANCE • RISK GOVERNANCE • INTERNATIONAL STANDARDS

Duration: 5 Days (40 Hours)
Level: Advanced / Expert / Specialist

COURSE OVERVIEW

As global trade becomes increasingly interconnected, criminal organizations, sanctioned entities, terrorist financiers, proliferators, and transnational organized crime groups continue to exploit international trade systems to conceal illicit financial flows, evade sanctions, facilitate proliferation financing, and bypass traditional AML controls.

Regulators in the United States, European Union, United Kingdom, Canada, Singapore, UAE, and other jurisdictions are placing greater emphasis on detecting sophisticated TBML networks, sanctions circumvention schemes, dual-use goods transactions, trade fraud, beneficial ownership concealment, and complex trade finance abuse.

This advanced executive programme focuses on strategic, operational, investigative, regulatory, and intelligence-led approaches to TBML detection and sanctions compliance. Participants will learn advanced analytical methodologies, trade data intelligence techniques, sanctions evasion investigations, network analysis, proliferation financing detection, and enterprise-wide TBML risk governance.

The programme incorporates advanced case studies, investigative simulations, trade data analytics exercises, sanctions investigations, forensic reviews, intelligence-led investigations, and a board-level compliance capstone project.

TRAINING OUTCOMES

Upon completion participants will be able to:

1. Identify sophisticated TBML typologies and sanctions evasion schemes.
2. Conduct enterprise-wide TBML and sanctions risk assessments.
3. Detect complex trade finance abuse and trade fraud.
4. Investigate proliferation financing and dual-use goods transactions.
5. Analyze trade data using intelligence-led methodologies.
6. Identify hidden beneficial ownership and shell company structures.
7. Detect sanctions circumvention through third-country routing.
8. Build advanced sanctions screening and monitoring systems.
9. Lead enterprise financial crime investigations involving international trade.
10. Design world-class TBML, sanctions, and proliferation financing compliance frameworks.

TARGET AUDIENCE

- Heads of AML/CFT Compliance
- Chief Compliance Officers
- Money Laundering Reporting Officers (MLROs)
- Financial Crime Compliance Directors
- Trade Finance Directors
- Correspondent Banking Executives
- Sanctions Compliance Managers
- Central Bank Supervisors
- Financial Intelligence Unit Analysts
- Customs and Border Protection Officials
- Export Control Authorities
- Prosecutors and Investigators
- National Security Agencies
- Internal Audit Directors
- Enterprise Risk Managers

Course Units and Sub-units

UNIT 1: Strategic TBML Threat Environment and Geopolitical Risk

Sub-Units

- Evolution of Global TBML Networks
- Geopolitical Risks and Financial Crime
- Trade-Based Sanctions Evasion Mechanisms
- Emerging Criminal Trade Ecosystems
- State-Sponsored Sanctions Circumvention
- Global Trade Corridors and Risk Mapping
- High-Risk Jurisdiction Analysis
- FATF Global Risk Assessments
- Economic Crime Convergence
- Strategic Intelligence Sources

UNIT 2: Advanced TBML Methodologies and Trade Manipulation Schemes

Sub-Units

- Complex Over-Invoicing Models
- Multi-Layered Under-Invoicing Schemes
- Phantom Shipment Structures
- Circular Trade Transactions
- Mirror Trade Networks
- Commodity Laundering Systems
- Transfer Pricing Manipulation
- Trade Misclassification Techniques
- Free Trade Zone Abuse
- Trade Diversion Networks
- Multi-Jurisdictional Trade Laundering Models

UNIT 3: Trade Finance Vulnerabilities and Correspondent Banking Risks

Sub-Units

- Advanced Trade Finance Structures
- Documentary Credit Fraud
- Structured Trade Finance Risks
- Supply Chain Finance Abuse
- Receivables Fraud
- Correspondent Banking Exposure
- Offshore Trade Financing Risks
- Trade Asset Securitization Risks
- Shipping Documentation Manipulation
- Beneficial Ownership Concealment

UNIT 4: Advanced Sanctions Compliance and Sanctions Evasion Investigations

Sub-Units

- OFAC Enforcement Methodologies
- EU Restrictive Measures
- UK OFSI Enforcement Framework
- Secondary Sanctions Risks
- Sectoral Sanctions Compliance
- Maritime Sanctions Risks
- Vessel Tracking Analysis
- AIS Manipulation Detection
- Ship-to-Ship Transfer Analysis
- Third-Country Sanctions Evasion
- Cryptocurrency-Assisted Sanctions Evasion
- Sanctions Investigation Methodologies

UNIT 5: Proliferation Financing and Strategic Trade Controls

Sub-Units

- WMD Proliferation Financing Risks
- Strategic Export Controls
- Dual-Use Goods Monitoring
- Nuclear Supply Chain Risks
- Military End-User Screening
- End-Use Verification Processes
- Export Licensing Controls
- Procurement Network Analysis
- Proliferation Financing Red Flags
- International Non-Proliferation Frameworks

UNIT 6: Trade Data Analytics and Financial Crime Intelligence

Sub-Units

- Intelligence-Led Compliance
- Trade Data Mining Techniques
- Customs Data Analytics
- Trade Flow Analysis
- Price Anomaly Detection
- Commodity Benchmarking
- Trade Pattern Recognition
- Data Visualization Techniques
- Artificial Intelligence Applications
- Machine Learning for TBML Detection
- Predictive Analytics Models

UNIT 7: Advanced Due Diligence and Beneficial Ownership Investigations

Sub-Units

- Complex Ownership Structures
- Ultimate Beneficial Ownership Investigations
- Shell Company Identification
- Nominee Director Analysis
- Offshore Entity Risk Assessment
- Trade Counterparty Intelligence
- Adverse Media Analytics
- Politically Exposed Persons Analysis
- Network Relationship Mapping
- High-Risk Customer Investigations

UNIT 8: TBML Investigations, Forensics and Evidence Development

Sub-Units

- Trade Transaction Reconstruction
- Financial Intelligence Gathering
- Open-Source Intelligence (OSINT)
- Trade Document Forensics
- Invoice and Shipping Fraud Analysis
- Evidence Collection Procedures
- Cross-Border Investigation Techniques
- Regulatory Reporting Requirements
- Suspicious Activity Reporting
- Case Development Methodologies
- Prosecutorial Support Techniques

UNIT 9: Enterprise Governance, Risk and Compliance Frameworks

Sub-Units

- Enterprise TBML Risk Governance
- Three Lines Model
- Board Oversight Responsibilities
- Compliance Programme Maturity Models
- Risk Appetite Development
- Enterprise Control Frameworks
- Compliance Monitoring Systems
- Independent Validation Programmes
- Internal Audit Reviews
- Regulatory Examination Management
- Governance Reporting Dashboards

UNIT 10: Designing a Global TBML, Sanctions and Proliferation Financing Compliance Programme

Sub-Units

- Enterprise Compliance Architecture
- Risk-Based Compliance Models
- TBML Detection Frameworks
- Trade Monitoring Systems
- Advanced Screening Technologies
- AI-Powered Compliance Solutions
- Regulatory Reporting Frameworks
- Global Compliance Governance
- Compliance Culture Development
- Continuous Improvement Methodologies
- Compliance Transformation Roadmaps

5-day executive training schedule

Day	Morning Session	Afternoon Session	Learning Method
Day 1	Strategic TBML Threat Environment	Advanced TBML Typologies	Expert Lectures, Group Analysis
Day 2	Trade Finance Vulnerabilities	Sanctions Evasion Investigations	Case Studies, Simulations
Day 3	Proliferation Financing Controls	Trade Data Analytics and Intelligence	Analytics Workshop, Scenario Analysis
Day 4	Beneficial Ownership Investigations	TBML Investigations and Forensics	Investigation Simulation
Day 5	Governance and Compliance Frameworks	Capstone Project Presentations	Executive Panel Review

- **Exercise 1:** TBML Network Mapping and Criminal Trade Route Analysis
- **Exercise 2:** Global Sanctions Evasion Investigation
- **Exercise 3:** Proliferation Financing Risk Assessment
- **Exercise 4:** Beneficial Ownership and Shell Company Investigation
- **Exercise 5:** Enterprise TBML Risk Assessment Development
- **Exercise 6:** Trade Data Analytics and Price Manipulation Detection

Group Work Activities

Advanced Case Studies

Case Study	Learning Objective
Iranian Oil Sanctions Evasion Networks	Maritime sanctions evasion
North Korean Proliferation Financing Cases	Export control failures
OFAC Multi-Billion Dollar Enforcement Actions	Sanctions programme weaknesses
HSBC Trade Finance Enforcement Actions	TBML controls
Standard Chartered Sanctions Cases	Compliance governance
Danske Bank Financial Crime Failures	AML and sanctions governance
International Gold Trade Laundering Networks	Commodity-based TBML
UAE Free Trade Zone Abuse Cases	Trade diversion schemes
Russian Sanctions Circumvention Cases	Geopolitical compliance risks
Latin American TBML Cartel Networks	Complex trade laundering methodologies

Advanced Simulation Exercises

- **Executive TBML Investigation Simulation:** Participants investigate a multinational TBML network operating across five jurisdictions.
- **OFAC Regulatory Examination Simulation:** Participants defend their sanctions compliance programme before regulators.
- **Proliferation Financing Detection Exercise:** Participants identify dual-use goods transactions and procurement networks.
- **Trade Finance Review Committee Simulation:** Participants evaluate high-risk trade transactions for approval.
- **Enterprise Risk Committee Meeting:** Participants present TBML and sanctions risks to the Board Risk Committee.

Executive Capstone Project

Design of a Global TBML, Sanctions & Proliferation Financing Risk Management Programme

Scenario

A multinational financial institution has:

- Operations in 40 countries
- Exposure to sanctioned jurisdictions
- High-risk correspondent banking relationships
- Trade finance operations exceeding USD 20 billion annually
- Regulatory scrutiny from multiple jurisdictions

Deliverables

Participants will develop:

1. Enterprise TBML Risk Assessment Framework
2. Sanctions Risk Management Framework
3. Proliferation Financing Control Programme
4. Trade Monitoring and Analytics Strategy
5. Governance and Oversight Framework
6. Regulatory Reporting Framework
7. Compliance Technology Roadmap
8. Board Reporting Dashboard
9. Investigation and Escalation Procedures
10. Three-Year Compliance Transformation Strategy

Assessment Framework

Assessment	Weight
Advanced Knowledge Examination	15%
Trade Analytics Exercise	15%
Sanctions Investigation Exercise	15%
Proliferation Financing Assessment	10%
Group Case Study Analysis	10%
Simulation Performance	15%
Executive Capstone Project	20%

Certification Award

Advanced Executive Certificate in Trade-Based Money Laundering (TBML), Sanctions Compliance & Proliferation Financing Risk Management

CPD Credits: 40 Hours

Executive Competencies Acquired

- Advanced TBML Investigations
- Trade Finance Financial Crime Detection
- Sanctions Compliance Leadership
- Proliferation Financing Risk Management
- Financial Crime Intelligence and Analytics
- Trade Data Analytics
- Beneficial Ownership Investigations
- Enterprise Financial Crime Governance
- Regulatory Examination Readiness
- Global Compliance Programme Leadership

This programme is benchmarked against advanced practices employed by FATF, OFAC, OFSI, the European Union, Wolfsberg Group member banks, major global financial institutions, customs authorities, financial intelligence units, and international regulatory agencies.

EXECUTIVE TRAINING PROGRAMME

ENROLMENT & PARTICIPANT REGISTRATION FORM

Please complete all applicable sections in BLOCK CAPITALS.

1. PROGRAMME & ENROLMENT DETAILS

Programme Title	
Programme / Course Code	
Preferred Delivery Mode	☐ Executive Classroom ☐ Live Virtual ☐ In-House / On-Site ☐ Hybrid
Preferred Programme Dates	
Preferred Training Location	
How did you hear about IBSF?	☐ IBSF Website ☐ Email ☐ Referral ☐ Partner ☐ LinkedIn/Social Media ☐ Other: __________

2. EXECUTIVE PARTICIPANT PROFILE

Title	☐ Mr. ☐ Ms. ☐ Mrs. ☐ Dr. ☐ Prof. ☐ Eng. ☐ Other:
Full Legal Name	
Passport / ID No.:	
Nationality	
Email	
Mobile / WhatsApp	
LinkedIn / Professional Profile	

3. ORGANISATION & PROFESSIONAL INFORMATION

Organisation / Institution	
Job Title / Executive Position	
Department / Division	
Years of Professional Experience	☐ 1–5 ☐ 6–10 ☐ 11–15 ☐ 16–20 ☐ 20+
Industry / Sector	☐ Central Bank ☐ Commercial Bank ☐ Treasury/Government ☐ Financial Services ☐ Insurance ☐ Investment/Asset Management ☐ Public Sector ☐ Corporate ☐ Development Organisation ☐ Other: __________
Area of Responsibility / Specialisation	

4. EXECUTIVE DEVELOPMENT OBJECTIVES

Primary objectives — select up to five:

☐ Strategic leadership & decision-making
☐ Banking & financial management
☐ Regulatory compliance & financial crime
☐ Digital finance, FinTech & innovation
☐ Corporate governance & board effectiveness
☐ Risk management & enterprise resilience
☐ Monetary policy & macroeconomic analysis
☐ Treasury, markets & investment management

☐ Audit, assurance & financial oversight	☐ Cybersecurity & technology risk
☐ ESG, sustainability & climate finance	☐ Career advancement / executive development
☐ Institutional capacity building	☐ International benchmarking & best practice

What specific capability, challenge or institutional priority do you want this programme to address?

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5. EDUCATION, QUALIFICATIONS & PROFESSIONAL CREDENTIALS

Highest Academic Qualification	
Institution / University	
Professional Qualifications	
Professional Certifications	
Professional / Board Memberships	
Relevant Executive Training	

6. SPONSORSHIP, BILLING & PAYMENT

Funding / Sponsorship	☐ Self ☐ Employer ☐ Government/Public Institution ☐ Sponsor/Donor ☐ Other:
Paying Organisation	
Billing Contact / Department	
Billing Email / Telephone	
Purchase Order / Reference No.	
Preferred Payment Method	☐ Bank Transfer ☐ Corporate Payment ☐ Other: ________________________
Invoice Required	☐ Yes ☐ No

7. TRAVEL, VISA & EXECUTIVE SUPPORT REQUIREMENTS

Visa / Invitation Letter Required	☐ Yes ☐ No ☐ Not Applicable
Arrival / Departure Assistance	☐ Yes ☐ No
Accommodation Assistance	☐ Yes ☐ No
Airport Transfer Assistance	☐ Yes ☐ No
Dietary Requirements	☐ None ☐ Vegetarian ☐ Halal ☐ Other: _______________________
Accessibility / Special Requirements	

8. EMERGENCY CONTACT

Full Name	
Relationship	
Mobile / WhatsApp	
Email	

9. EXECUTIVE LEARNING & PARTICIPATION AGREEMENT

☐ I confirm that the information provided in this form is accurate and complete.

☐ I understand that submission of this form is subject to programme availability and formal enrolment confirmation by IBSF.

☐ I agree to comply with IBSF programme rules, professional standards, attendance requirements and applicable payment terms.

☐ I understand that programme materials are provided for registered participants and may not be reproduced or distributed without authorisation.

☐ I consent to IBSF using my professional contact information for programme administration, learning communications and relevant executive education updates.

☐ I understand that any visa, travel, accommodation or third-party costs are subject to the applicable arrangements and terms communicated by IBSF.

10. EMPLOYER / PARTICIPANT DECLARATION & AUTHORISATION

I hereby declare that the information supplied in this enrolment form is true and complete. I confirm my intention to participate in the selected IBSF executive training programme and accept the applicable enrolment, payment, attendance and programme conditions communicated by IBSF.

Participant Name		**Signature**	
Date		**Place**	
Head of Department / Authorised Official		**Email**	
Director General/ CEO/ MD/ Chairman & Signature		**Official Stamp / Seal**	

11. IBSF ADMINISTRATION USE ONLY

Application Reference No.	________________	**Date Received**	________________
Programme Confirmed	☐ Yes ☐ No	**Enrolment Status**	☐ Pending ☐ Confirmed ☐ Waitlisted
Invoice No.	________________	**Payment Status**	☐ Pending ☐ Partial ☐ Paid
Admission / Confirmation	☐ Issued ☐ Pending	**Visa / Invitation**	☐ Issued ☐ Pending ☐ N/A
Training Coordinator	________________	**Registration Checked By**	________________
Remarks	______________________________	**Authorised By**	________________

Completed applications should be submitted to IBSF, USA address if you are from USA, CANADA, South America OR
To Africa and Asia Liaison office if you are participant from Africa, Asia or Europe.
All applications can also be submitted to ibsf@international-bsf.org

CERTIFICATE

EXECUTIVE PROFESSIONAL PROGRAMS

TBML • SANCTIONS • PROLIFERATION FINANCING • AML/CFT • RISK MANAGEMENT

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